

# CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT ANNUAL CONFERENCE 2010

*Thriving in the New World Order*

Thursday 17 June 2010

Glaziers Hall, 9 Montague Close, London SE1 9DD



“ **Very strong line up of well-known industry heavy hitters and a very well-organised event** ”

*Investment Director, AXA*



**David Willetts MP**  
 Shadow Secretary of State  
 for Universities and Skills



**Lord Burns**  
 Chairman,  
 SANTANDER UK



**Barbara Ridpath**  
 CEO, INTERNATIONAL CENTRE  
 FOR FINANCIAL REGULATION



**Hector Sants**  
 CEO, FINANCIAL SERVICES  
 AUTHORITY



**Xavier Rolet**  
 CEO, LONDON STOCK  
 EXCHANGE GROUP



**Dr Michael W Taylor**  
 Adviser to the Governor,  
 CENTRAL BANK OF BAHRAIN

“ **The CISI Annual Conference is an excellent, well-organised event and one I look forward to every year. To assemble such great speakers each year is a credit to the CISI** ”

*Investment Administrator,  
 Thornhill Investment  
 Management*

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# CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT ANNUAL CONFERENCE 2010

## WELCOME FROM ALAN YARROW FCSI(HON)



By the time our conference takes place we will know who has been elected to run the country and hopefully that will shed some light on our future regulatory structure.

However, this is by no means certain, and so the themes of the conference could change quite dramatically from inception to delivery.

Financial markets believe in good regulation, properly administered by people with good judgement. It is not just us in the UK who are finding it difficult to come to conclusions; it is a global issue which is in danger of slipping back to regional control.

Yours sincerely

**Alan Yarrow FCSI(Hon)**

Chairman, CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

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APCIMS has around 180 members. Over 125 are private client investment managers and stockbrokers and the rest are associate members providing related services to our firms.

For further information please contact Jason Baxter, Head of Investment and Member Services on 020 7448 7100.

**09.00 REGISTRATION**

**09.30 CHAIRMAN'S OPENING REMARKS**



Alan Yarrow FCSI(Hon), Chairman, CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

**09.40 KEYNOTE**



David Willetts MP, SHADOW SECRETARY OF STATE FOR UNIVERSITIES AND SKILLS

**10.10 UPDATE FROM THE FSA**



Hector Sants, CEO, FINANCIAL SERVICES AUTHORITY

**10.40 NEW DIRECTIONS IN FINANCIAL REGULATION**



Dr Michael W Taylor, Adviser to the Governor, CENTRAL BANK OF BAHRAIN

**11.10 MORNING BREAK**

**11.40 VIEW FROM THE LONDON STOCK EXCHANGE**



Xavier Rolet, CEO, LONDON STOCK EXCHANGE GROUP

**12.10 THE BEST METHODS OF IMPROVING CORPORATE GOVERNANCE IN THE FINANCIAL SECTOR**



Barbara Ridpath, CEO, INTERNATIONAL CENTRE FOR FINANCIAL REGULATION

**12.40 WHAT IS THE FUTURE FOR RETAIL BANKING IN THE UK?**



Lord Burns, Chairman, SANTANDER UK

**13.10 LUNCH**

**14.20 RETAIL DISTRIBUTION REVIEW: PRACTICALITIES & POSSIBILITIES**



Simon Culhane, Chartered FCSI, CEO, CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT



Ruth Martin, Managing Director, CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

**14.50 PREVENTING FRAUD & FINANCIAL CRIME**

Speaker to be confirmed

**15.20 AFTERNOON BREAK**

**15.50 STREAM ONE DEBATE: HOW WILL THE DIGITISATION OF MONEY CHANGE THE SECURITIES WORLD?**



Chairman: Andrew Hilton, Director, CFSI



David Birch, Director, CONSULT HYPERION



Professor Michael Mainelli FCSI, Chairman, Z/YEN GROUP

**STREAM TWO DEBATE: BUILDING TRUST - DOES THE INDUSTRY NEED A CHANGE IN ITS APPROACH TO RELATIONSHIP MANAGEMENT?**



Chairman: Alan Yarrow FCSI(Hon), Chairman, CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT



Richard Charnock FCSI, CEO, STANDARD LIFE WEALTH



Andrew Fisher, CEO, TOWRY LAW



Jon Moulton, Chairman, BETTER CAPITAL

**17.00 DRINKS RECEPTION**

**18.30 CLOSE**

