

COMBATING FINANCIAL CRIME

Always high on the regulatory agenda, financial crime spreads beyond individuals and firms, representing an issue of international significance in today's globalised marketplace.

The Chartered Institute for Securities & Investment (CISI)'s Combating Financial Crime qualification has been developed by established international specialists and focuses on how laws, regulations and best practice continue to evolve across the entire spectrum of associated activities:

- ✓ The Background and Nature of Financial Crime
- ✓ Predicate Offences
- ✓ Terrorist Financing
- ✓ Combating Financial Crime
- ✓ The Role of the Private Sector
- ✓ Money Laundering
- ✓ Corruption
- ✓ Bribery

Key features

- ✓ Thoroughly tackles the financial crime agenda
- ✓ Takes a global view of transnational crime
- ✓ Illustrates practical defences
- ✓ Incorporates the UK Bribery Act (2010)
- ✓ Can be taken with a CISI regulatory exam to complete the full Certificate in Combating Financial Crime. Candidates are exempt from the regulation paper if they already have a regulatory paper through another route, eg, IAQ/IOC

Who developed this?

This exam is awarded by the CISI, which is the premier supplier of financial services qualifications to the securities and investment industry. Over 40,000 exams are taken each year. The CISI is a charity, registered in the UK, whose exams are taken throughout the world. It has offices in the Middle East, India, Singapore and Sri Lanka.

The qualification was developed in collaboration with QCo. QCo is a Washington DC registered company offering and facilitating consulting services to governments and corporates on all aspects of financial crime policy and control with particular focus on Money Laundering, Corruption, Fraud, Security, Terrorism Finance, WMD Proliferation and Economic Sanctions. www.qcoholdings.com

Key contributors

Organisations involved in the development of CFC represented all facets of the fight against financial crime.

HSBC Group - Compliance Policy Financial Crime - contributing banking expertise

PwC Group (Forensics) contributing auditing and consulting expertise

Guernsey Financial Services Commission contributing regulatory expertise

Cardiff University's Crime, Security and Justice Research Group contributing academic expertise

Dubai FSA contributing expertise in international regulations

Bond Associates contributing legal expertise

“ It is the first time that an exam treats all of these issues at once and makes clear that implementation of measures and policies for what might seem as a very costly and overwhelming set of requirements can be achieved effectively and in line with the commercial interests of the private sector. ”

*Dr. Nikos Passas, Chairman, QCo,
Professor, Northeastern University School of Criminology and Criminal Justice,
Chair, American Society of Criminology, Division of International Criminology*

