

CISI 

CHARTERED INSTITUTE FOR
SECURITIES & INVESTMENT

your student membership
2009/10

To set standards of professional excellence and integrity for the securities and investment industry, providing qualifications and promoting the highest level of competence to our members, other individuals and firms.

CISI Mission Statement

CISI Student Membership

Welcome to the Chartered Institute for Securities & Investment

Did you know that by registering for one of our exams you have automatically been awarded a year's free student membership? This handy guide sets out what you can expect from membership of the professional body for your industry.

Your student membership offers many valuable benefits to assist you in your studies and career development. Over the next few pages discover what's available and how to access it.

About Us

Formerly the Securities & Investment Institute (SII), and originally founded by members of the London Stock exchange in 1992, the Institute is the leading examining, membership and awarding body for the securities and investment industry. We were awarded a royal charter in October 2009, becoming the Chartered Institute for Securities & Investment. We currently have around 40,000 members who benefit from a programme of professional and social events, with continuing professional development (CPD) and the promotion of integrity, very much at the heart of everything we do.

It is vital that firms continue to ensure that staff attain and maintain competence in today's principles-led regulatory regime. The Chartered Institute for Securities & Investment, through its examinations and continuing professional development programme, makes a valuable contribution towards this goal.

Hector Sants, Chief Executive, FSA

Your Student Membership

For more information and to access any of the benefits in this booklet visit the Student Centre: cisi.org/student
You'll need your membership number and password.

Understanding Finance & Investments Programme

FREE

Exclusive to student members, the Understanding Finance & Investments Programme is organised to give you a general understanding of various subject areas. The programme includes the following topics:

- ✓ What is a Derivative?
- ✓ What are Securities?
- ✓ What is a Bond?
- ✓ What is an Equity?
- ✓ What is Anti-money Laundering?

Each session lasts one hour and they are free of charge.

For more information and to book, visit the events calendar in the student centre: cisi.org/student

Revision Seminars

FREE

These are short and sharp 'tips & techniques' seminars held at our central London offices. The informal sessions cover all the areas of studying for and taking your exams. Remember, we're here to help and the seminars are free. It might mean the difference between a pass and a fail.

Book a revision seminar now:
memberservices@cisi.org

+44 (0)20 7645 0650

What is CPD?

CPD stands for Continuing Professional Development and is used in many industries. It is a way of continuously updating your professional knowledge, personal skills and competencies through training, attending conferences and reading industry journals.

CISI CPD Seminars

FREE

The CISI CPD seminars programme is one of the widest reaching in the financial services industry, attracting delegates from all levels. A full programme of lunchtime and early evening events is available in all regions, covering areas such as Investment Management, Private Client Wealth Management, Compliance, Ethics, Corporate Governance, Corporate Finance, Operations and Human Resources. Places get booked very quickly so you should try to book as far in advance as possible.

As a student member, you are entitled to attend four CPD seminars per year free of charge and you can also view webcasts and podcasts of many of our CPD seminars.

Book a CPD seminar now:

cisi.org/student

+44 (0)20 7645 0652

Check what's coming up at:

cisi.org/cpdeventscalendar

As an ACSI member of the CISI you can join our CPD scheme which is one way you can demonstrate competence to your employer, clients and the regulator. Plus you can attend unlimited CPD seminars.

Infolink

FREE

Infolink is an online information resource that provides a fast and convenient means of accessing up-to-date industry information in a variety of formats (eg, webcasts, white papers, articles and speeches). It's free to student members too!

Access Infolink now:
cisi.org/student

Regulatory Update

FREE

The CISI Regulatory Update is the quarterly magazine covering all of the key regulatory changes taking place in the industry. The Regulatory Update has been voted one of the most valuable membership benefits and can be accessed from the comfort of your desk by logging in to the Student Centre.

Access the Regulatory Update now:
cisi.org/student

Securities & Investment Review

FREE

The Securities & Investment Review is your monthly magazine exclusive to members of the CISI. Each edition features hot topics affecting the industry, interviews with high-profile industry figures, members' news, CPD articles and our Grey Matters series on ethics. Student members receive their copy electronically.

Access the Securities & Investment Review now:
cisi.org/student

ACSIs receive hard copies of the Securities & Investment Review, as well as being able to access the online version. CISI members also receive substantial discounts off many top business publications.

Professional Conferences

50% off

The CISI organises a wide range of half, one and two day conferences on up-to-the-minute topics in the financial markets. These events attract high-level delegates from around the world, providing an excellent networking opportunity. As a student member, you receive 50% discount off one conference.

We have conferences coming up in 2009/10 in the following areas:

- ✓ Alternative Investments
- ✓ Financial Crime and Fraud
- ✓ Islamic Finance
- ✓ Compliance
- ✓ Operational Risk
- ✓ Private Wealth Management

All may be subject to change and confirmation. Look out for emails which will confirm dates and speaker details prior to the events.

Remember...as an ACSI member, you will save 50% off every CISI conference and training course, which more than covers the cost of your annual membership!

Book online now:
cisi.org/student

or contact our Client Services team:
clientservices@cisi.org

+44 (0)20 7645 0680

Training Courses

20% off

As the world's leading professional body for the securities and investment industry, we are ideally placed to research and produce training programmes that meet your needs. So whether you're new to the investment industry and looking for an introductory course, or an experienced professional seeking to broaden your knowledge base, we can help. As a student member, you receive 20% discount off one training course.

Training courses planned in 2009/10 include:

- ✓ Training Competence and Managing Expertise in a Regulated Environment
- ✓ Introduction to Islamic Finance
- ✓ Operational Risk Management - Taking it to the Next Level
- ✓ Introduction to Financial Markets
- ✓ Mastering Presentation Skills
- ✓ Understanding Regulation and Compliance

All may be subject to change. Look out for emails which will confirm dates and other details prior to the events.

Remember...as an ACSI member, you will save 20% off every CISI training course, which more than covers the cost of your annual membership!

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Examination Achievers' Receptions

Achieving an CISI award, whether it's the IAQ or one of our Certificates, is a great achievement that should be celebrated. To do just that, we hold Achievement Receptions four times a year for our student members to celebrate their success in a relaxed and informal atmosphere. The receptions are very popular and usually feature a key-note speech by a recognised person in the industry. We hope to see you at one of our receptions when you have achieved your CISI award.

For further information contact:

studentmembership@cisi.org +44 (0)20 7645 0650

Careers Centre

FREE

Find everything you need to get your career off to a flying start in the CISI Careers Centre, available through the Student Centre. Search for the latest jobs, get help with your CV and interview skills and make use of specialist tools like our industry salary checker.

Access through the Student Centre:

cisi.org/student

Online Dictionary

Absolute Interest- The same person has both the legal ownership and the beneficial ownership of an asset.

Best Execution- The requirement for a broker to obtain the best market price when buying or selling a marketable investment on behalf of the client.

Cable- Dealers' word for the US\$/GB£ exchange rate.

...find thousands more industry definitions in the Student Centre: cisi.org/student



Tips from the Examiners

- ✓ Be realistic about how long it will take to study. We publish recommended study hours for all exams so use them as a guide.
- ✓ Read around the subject, particularly current media coverage, to broaden your understanding.
- ✓ Test yourself by answering questions in the study packs and if examiners' reports are published, read them carefully; you could learn from the mistakes of others.
- ✓ In the exam - know how much time to spend on each question. In multiple-choice exams you normally have just over a minute per question. In narrative exams check the maximum points available for each question; this is a good indication of how much time you should dedicate to each.
- ✓ Try answering the questions you find easy first; it often makes you feel more confident.
- ✓ When answering longer questions, it is a good idea to make a rough draft to plan your answer.
- ✓ If your test is a multiple-choice exam delivered via computer based testing, you'll receive your result on your way out. Results of narrative exams are normally available 6-12 weeks after the exam.

Candidate Common Room

FREE

Access more tips and lots more to help you with your studies at:
cisi.org/candidatecommonroom

What to expect as an ACSI member of CISI

Once you have passed your CISI benchmark qualification you will be eligible to join the CISI at Associate level with the designatory letters ACSI.

As well as meeting the training and competence (T&C) requirements of the regulator and your firm, ACSI membership demonstrates commitment to CPD.

We could see a role for strong professional bodies, where good advisers want to belong and are recognised for their commitment to high standards.

*FSA - A Review of Retail Distribution
Discussion Paper 07/1*

Additional benefits you will be entitled to when you join us as an Associate (ACSI) member include:

CPD Scheme- A professional online tool which allows you to keep track of your CPD activities. We'll even automatically log the activities you take part in through us. Using the scheme demonstrates competence to your employer, clients and the regulator and your commitment to progression.

Professional Interest Forums- These specialist, members-only discussion groups will allow you to raise issues and discuss them with your peers. We currently have forums for those working in: Compliance, Risk, IT, Corporate Finance, Operations and Wealth Management. The groups usually meet in central London and each session includes a lunch.

IntegrityMatters- Integrity is at the heart of our mission statement and to support this we have developed a brand new elearning module which is free to members. You can work your way through a set of dilemmas based on real-life scenarios and find out how ethical your work practices really are!

Professional Refresher- This recently upgraded online tool is a simple and convenient way to refresh your knowledge at your desk. It offers topical information as part of a professional development programme and includes topics such as Anti-money Laundering, Financial Promotions and Market Abuse.

Select Benefits- Sign up as an Associate (ACSI) when you pass your benchmark qualification and you will be able to take advantage of our exclusive personal benefits package, which includes discounts on holidays, car rental, legal advice and shopping.

Did you know?

You can play online trading games which simulate the mechanics and conditions of trading. Go to: cisi.org/student

How to get your ACSI membership

1. Pass your benchmark qualification.
2. Look out for your membership upgrade email when you have passed your exam and complete the online application form. You can also download your own form at any time from: cisi.org/membership
3. Send the form back to us and we'll make it happen!

If you have any questions about your student membership or about upgrading to ACSI membership please get in touch.

studentmembership@cisi.org

+44 (0)20 7645 0650

We wish you every success in your exams with the Chartered Institute for Securities & Investment; don't forget we're here to help!

Did you know?

Many firms support CISI membership as it is recognised as a valuable tool to help you do your job and remain competent. Why not speak to your line or training manager to see if your firm will cover the cost?

attain

maintain

promote trust

student membership enquiries

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cisi.org/student